

FAMILIAL DYSAUTONOMIA

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SUMMARY

Familial dysautonomia (FD), also known as Riley-Day syndrome or recessive hereditary sensory and autonomic neuropathy type III (HSAN III), is caused by a single-base noncoding mutation in intron 20 (IVS20+6T > C) of the IKBKAP/ELP1 gene. This mutation results in variable skipping of exon 20 in IKBKAP/ELP1 transcripts, which leads to tissue-specific reduction of ELP1 (IKBKAP) protein, particularly in the nervous system. FD is a devastating disorder with a high mortality rate due primarily to autonomic dysfunction. The identification of the gene and the disease-causing mutation has promised the development of potential treatments that directly target mRNA splicing to increase normal mRNA and protein. FD is a developmental disease with diagnostic symptoms present at birth, whereas patients show progressive neurodegeneration throughout life. Drugs that can increase ELP1 protein may slow this degeneration and improve the quality of life in aging patients. Since these compounds target the mRNA splicing mechanism and not a specific gene, it is likely that they will prove useful in other disorders with similar splice-site mutations. Given that 20-30% of human mutations are predicted to alter mRNA splicing, direct modification of splicing efficiency poses an important target for the design of therapeutics in the future.

INTRODUCTION

History

Familial dysautonomia (FD), also known as Riley-Day syndrome or hereditary sensory and autonomic neuropathy type III (HSAN III, OMIM #223900), was first described in 1949 by Conrad M. Riley, Richard L. Day, David McL. Greeley and William S. Langford, physi-

cians in New York City (1). The authors pointed out that while FD is similar to several well-defined disorders such as congenital deficiency of lacrimation, autonomic diencephalic epilepsy, postencephalic parkinsonism, essential hypertension and autonomic imbalance, its unique constellation of features allowed classification of FD, later named Riley-Day syndrome, as a distinct clinical entity. In the original report, the primary features of FD were deficiency of lacrimation, characteristics of anxiety, such as excessive sweating and red blotching of the skin, arterial hypertension, hyporeflexia, motor incoordination and cyclic vomiting. Moreover, it was noted that all patients described were of Ashkenazi Jewish heritage. Since the first description of FD, numerous clinical studies have contributed substantially to the diagnosis and supportive medical treatment of this disorder, dramatically improving patient survival over the past 30 years (2-6).

Epidemiology

FD is one of the most common and best known of the hereditary sensory and autonomic neuropathies (7). To date, FD has only been described in the Ashkenazi Jewish population. In 2003, a single non-Jewish mutation was described in a patient of mixed Ashkenazi ancestry (8). Following identification of *IKBKAP/ELP1* as the FD gene, the carrier frequency was estimated to range from 1 in 17 in Ashkenazi Jews of Polish descent to 1 in 27 in the general Ashkenazi Jewish population (5, 9, 10).

Genetics

FD was first suggested to be an autosomal recessive disorder in 1970 (11). In 1993, the FD gene was mapped to chromosome 9q31 using genetic linkage analysis performed in 26 families with multiple affected children. Haplotype analysis showed that there was a single major founder mutation present in the majority of FD patients (12). In 1999, using detailed haplotype analysis, the location of the disease gene was narrowed down to a 471-kilobase candidate region on chromosome 9 (13). The major intronic FD mutation was identified in 2000 by direct comparison of genomic DNA sequences between FD patients and controls (14-17). The major FD mutation is an intronic noncoding point mutation located at the sixth base pair of intron 20 in the last position of the consensus splice donor site (IVS20+6T > C) of the *IKBKAP/ELP1* gene. Subsequently, two missense mutations, R696P and P914L, were identified in patients who were heterozygous for the major FD haplotype. Importantly, all FD patients identified to date carry at least one copy of the splice mutation, and more than 99.5% of FD patients are homozygous for the intronic noncod-

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ing mutation (8, 16). Surprisingly, examination of lymphoblast and fibroblast cell lines derived from FD patients homozygous for the IVS20+6T > C mutation revealed that wild-type (WT) mRNA and protein were produced. This finding was unexpected given that FD is a recessive disease and thus the expectation was that the mutation would cause complete loss of function of the ELP1 (IKBKAP) protein. The presence of normal *IKBKAP/ELP1* mRNA and ELP1 protein in patient cells suggests that the intronic point mutation weakens but does not completely disrupt the inclusion of exon 20 during mRNA splicing. It is likely that the T to C mutation in the exon 20 splice donor site reduces the efficiency of the splicing machinery in a tissue-specific manner and results in variable expression of ELP1 protein during early development and throughout life (16).

It is known that the WT *IKBKAP/ELP1* mRNA in the FD patient-derived cells is translated into full-length, functional ELP1 protein. The exclusion of exon 20 in the mutant (MU) *IKBKAP/ELP1* transcript results in a frameshift and introduces a premature translation termination codon in exon 21, which would be expected to trigger the non-sense-mediated mRNA decay pathway (NMD) and lead to degradation of the mutant transcript (16, 18). This was later supported by the observations of increased MU *IKBKAP/ELP1* transcript in FD patient-derived cells that were treated with cycloheximide, an inhibitor of NMD, as well as by the lack of truncated protein in FD patient tissues (16, 19, 20). The data generated to date strongly suggest that FD is caused by a tissue-specific reduction of ELP1 protein below the critical threshold necessary to support proper development of the sensory and autonomic nervous system, and not by the activity of a truncated mutant protein. Indeed, if a pathogenic mutant protein was produced, one might expect a dominant mode of inheritance and symptoms in FD carriers, and to date none have been described.

PATHOLOGICAL MECHANISMS

Although we now know that FD results from reduction of ELP1 protein levels, the precise pathological mechanism is largely unknown. Given that FD is a sensory and autonomic neuropathy, it is likely that reduction of ELP1 protein leads to deficits in neuronal development and/or migration, coupled with progressive age-related degeneration in both the peripheral and central nervous system (CNS) (21-25).

In FD patients, the number of myelinated and unmyelinated axons in the sural nerve is severely decreased, as are the intermediolateral neurons in the spinal cord. The total size and neuronal count of both the sensory and sympathetic ganglia are also reduced, and the sphenopalatine ganglion (parasympathetic) is a tenth of normal size. In addition, no dense-core vesicle nerve terminals are observed on peripheral blood vessels. The number of dorsal root ganglia is further reduced with age, suggesting that progressive neuronal degeneration contributes to the worsening of neurological function, such as loss of pain and vibration sensation in FD patients with age (21-23, 26, 27). Consistent with the pathological findings, microarray analyses performed using the post mortem cerebrum from two FD patients revealed that the expression of several genes that have been implicated in oligodendrocyte differentiation and myelination was downregulated in the FD brain (28). In FD patients, several clinical features, such as emotional lability and ataxic gait, indicate functional compromise of the CNS. Consistent with these clinical observations, imaging analyses using magnetic resonance imaging (MRI) and diffusion tensor imaging (DTI) revealed structural abnormalities in the CNS, including a reduction in the volume of white matter and the integrities of optic radiation and cerebellar peduncle, respectively (29).

The identification of *IKBKAP/ELP1* as the FD gene has ushered in a new era for the study of the underlying mechanism of FD. In FD patients homozygous for the IVS20+6T > C mutation, the relative amount of WT and MU *IKBKAP/ELP1* transcripts varies between tissues, with the central and peripheral nervous tissues showing the lowest levels of WT *IKBKAP/ELP1* mRNA (Fig. 1B) and ELP1 protein (16, 17, 30). These findings, together with the neurological and pathological observations of FD patients, suggest that excessive reduction of ELP1 protein, specifically in the developing nervous system, underlies the FD phenotype. Moreover, the fact that carriers with a single IVS20+6T > C mutation have no neurological abnormalities, suggests that there is a threshold of ELP1 protein that is required for normal development and maintenance of the nervous system.

It is now appreciated that the ELP1 is expressed ubiquitously in most tissues. ELP1 was originally identified as an IκB kinase complex-associated protein (31). However, later studies demonstrated that

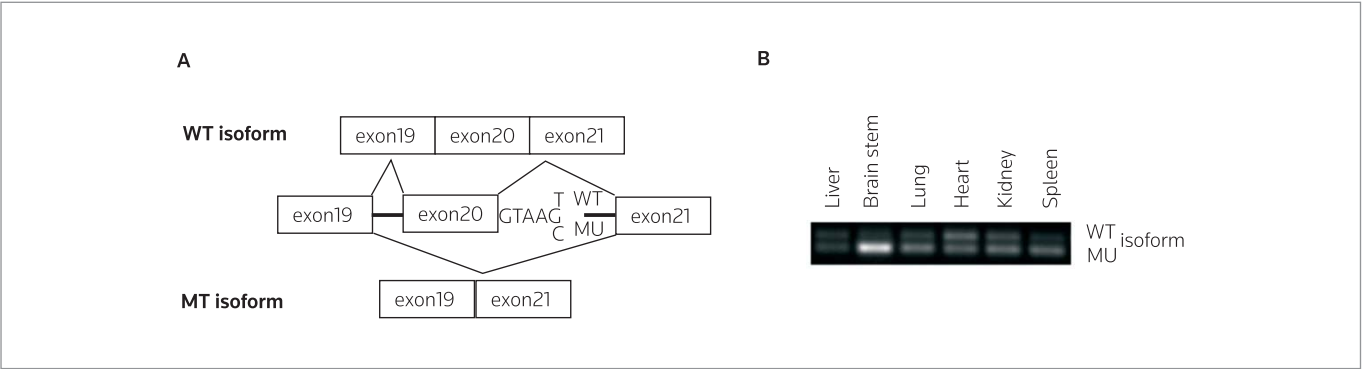


Figure 1. A. Schematic diagram of the IVS20+6T > C mutation in the *IKBKAP/ELP1* gene showing the two RNA isoforms transcribed from this allele. WT, wild-type; MU, mutant. **B.** Tissue-specific splicing pattern seen in familial dysautonomia (FD). Different levels of WT and MU transcript are produced from the IVS20+6T > C containing allele in different tissues.

ELP1 is not involved in the NF- κ B signaling cascade (32). Instead, ELP1 is homologous to the Elp1 protein, one of the subunits in the holo-elongator complex encoded by the *ELP1* gene in *Saccharomyces cerevisiae*. It is now known that the holo-elongation complex associates with hyperphosphorylated RNA polymerase II during transcriptional elongation in yeast (32-35). The human Elongator complex was subsequently shown to be a six-subunit complex containing IKBKAP/hELP1, hELP2/SttP1, hELP3, hELP4, hELP5 and hELP6. Intriguingly, despite an obvious role for Elongator in the nucleus, ELP1 can also be isolated from cytoplasmic fractions that lack detectable hELP3 (36). This finding raises the possibility that Elongator might have multiple functions in addition to its role in transcriptional elongation, perhaps functioning independently in different compartments (37). This assumption was supported by various studies that have demonstrated the putative functions of ELP1 in tRNA modification, exocytosis and activation of JNK signaling (38-40). Recently, it was demonstrated that a decrease of ELP1 alters the integrity of the Elongator complex, and subsequently interferes with histone H3 acetylation and alters the expression of genes that are important for cell motility (19). A role for ELP1 in cell adhesion and migration has also been suggested using RNA interference-based depletion of ELP1 (41). Most recently, the Elongator complex has been shown to regulate the maturation of projection neurons through defective α -tubulin acetylation (42, 43). The development of an *Ikbkap/Elp1* mouse knockout model has provided new insights into the role of ELP1 during early embryonic development (44). Complete loss of ELP1 function leads to early embryonic lethality, demonstrating an essential role for the protein during embryogenesis. The fact that complete ELP1 loss is incompatible with life explains the unique nature of the FD mutation observed in patients in that some level of ELP1 protein is required for embryonic development.

Examination of *Ikbkap/Elp1* knockout embryos demonstrated that ELP1 is required for neurogenesis and vasculogenesis, as evidenced by disoriented dorsal primitive neural alignment and failure to establish the embryonic vascular system. Expression of several genes that are important for neural and vascular development in the *Ikbkap/Elp1* knockout animals was shown to be reduced or absent due to defects in transcriptional elongation (44). While these studies have begun to shed light on the normal cellular function of IKBKAP/ELP1 and the Elongator complex, there is still much to be learned about how disruption of these processes leads to the autonomic and sensory dysfunction seen in FD.

PHENOTYPE

FD is a neuropathy that results from dysfunction in the sensory and autonomic nervous systems. The common clinical features that are considered to be diagnostic criteria for FD include absence of lacrimation (1), no axon flare formation when performing the intra-dermal histamine test (45), repressed deep tendon reflex (46, 47) and no fungiform papillae on the tongue (48-50). Interestingly, Ashkenazi Jewish ancestry is one of the major diagnostic criteria, which may partially explain the fact that, to date, FD has not been diagnosed outside the Ashkenazi Jewish population. FD patients also show impairment of pain and temperature sensation that worsens with increasing age (27, 51). Also, as reported in 1949, diminished corneal reflexes, postural hypotension, excessive sweating and

blotching, and episodic vomiting are present in the majority of FD patients (1). Patients with FD also suffer from various degrees of aspiration and insensitivity to hypoxia (52), ataxic gait (53), oropharyngeal incoordination (54), esophageal dysmotility and gastro-esophageal reflux (55), and spinal curvature (56).

CURRENT MEDICAL TREATMENTS

Since FD was first described in 1949, numerous clinical studies have contributed substantially to our understanding and treatment of this devastating disease. Although there is currently no cure for FD, remarkable progress has been made in developing supportive treatments, primarily by Dr. Felicia Axelrod, Director of the Dysautonomia Treatment and Evaluation Center at New York University Medical Center. In 1970, 50% of FD children died before their 5th birthday (11). Children born with FD today have a 50% probability of surviving beyond 40 years of age (57). Current clinical reports indicate that autonomic dysfunction, such as arrhythmia, pulmonary or renal failure, are the major causes of death (58). Listed below are the major clinical symptoms of FD and the typical supportive treatments:

Absent or reduced lacrimation. Topical lubricants and artificial tear solutions, or moisture chamber spectacle attachments and goggles, are used to retain eye moisture and protect the eye from the outside environment (59). These methods have been proven to significantly reduce corneal abrasions that are common in FD patients due to disturbances in the central autonomic system (47).

Oropharyngeal incoordination. Most FD infants have oropharyngeal incoordination, which causes difficulties in sucking and swallowing. Consequently, infants usually suffer from malnutrition or aspiration that results from misdirected liquids. Gastrostomy is frequently performed to assist with feeding and insure that sufficient calories are obtained (6).

Gastroesophageal reflux. Gastroesophageal reflux, also called acid reflux or acid regurgitation, is common in FD patients. In 1991, a clinical study estimated that over 95% of FD patients suffered from gastroesophageal reflux (54). Funduplications and gastrostomy are frequently performed if the patients develop complications of reflux, such as pneumonia, apnea and poor weight gain (54, 60).

Episodic vomiting. Episodic vomiting typically accompanies crisis in FD patients (1), and the use of anticonvulsant drugs such as clonazepam, lamictal or diazepam has been shown to reduce the duration and frequency of the crisis (59).

Recurrent pneumonia. In FD patients, aspiration due to misdirected swallowing or gastroesophageal reflux often leads to recurrent pneumonia. However, the frequency of lung infections can be significantly reduced with aggressive management of gastrointestinal dysfunction. Measures are used to decrease the risk of aspiration, and chest physiotherapy and postural drainage are often employed (59).

Postural hypotension. Postural hypotension is one of the characteristic features of FD. Exercise to reinforce lower body muscle tone, combined with increased fluid intake, is advised for all FD patients. Fludrocortisone and midodrine are also prescribed to manage hypotension (59).

Spinal curvature. The progression of scoliosis often occurs in FD patients, and severe spinal curvature might further affect the respi-

ratory system (61, 62). Surgical intervention and brace therapy are often required to slow down the progression of scoliosis (59).

DEVELOPMENT OF NEW DRUGS FOR THE TREATMENT OF FD

The noncoding point mutation IVS20+6T > C in the *IKBKAP/ELP1* gene interferes with splicing efficiency of *IKBKAP/ELP1* pre-mRNA and consequently results in tissue-specific reduction of ELP1 protein. Studies performed since the mutation was discovered strongly suggest that a reduction of ELP1 leads to inefficient transcription of other genes and impairs the development of the nervous system. Thus, the search for drugs that can improve splicing accuracy and increase ELP1 protein levels in FD patients has been a major focus for developing new, targeted treatments for FD. Based on this concept, screens for drugs that can alter splicing have been performed, and the plant cytokinin kinetin (Fig. 2) has been shown to dramatically enhance splicing efficiency of FD cell lines (20). In addition to kinetin, two other compounds, tocotrienol and epigallocatechin gallate (EGCG), have been reported to increase the WT *IKBKAP/ELP1* transcripts (63-65).

Kinetin (*N*⁶-furfuryladenine) is one of the plant cytokinins that has been shown to stimulate tRNA synthesis, cell cycle progression and the catalytic activity of cyclin-dependent kinase in plant cells (66-68). In addition to its described functions in plants, kinetin was shown to delay the onset and decrease the extent of aging characteristics in cultured human fibroblasts (69). Kinetin was also reported to protect against oxidative damage to both DNA and protein (70, 71). Currently, kinetin is widely used in skincare products, such as Kinerase (Valient Pharmaceuticals) and Kinetin Skincare (Almay), which are advertised to improve skin roughness, mottling and fine wrinkling (72).

The precise mechanism by which kinetin improves splicing efficiency has yet to be determined. Experiments using a series of minigenes with targeted alterations in *IKBKAP/ELP1* exon 20 have shown that the CAA motif at the distal end of exon 20 of the *IKBKAP/ELP1* gene is essential for kinetin activity (73). Importantly, kinetin treatment has been shown to significantly improve exon 20 inclusion and increase the amount of ELP1 protein amount in various patient cell lines, as well as in iPSC-derived neural crest cells from FD patients (20, 73, 74). Additionally, using mice that carry human IVS20+6T > C transgenes, we also observed improved exon 20 inclusion in all tissues examined, including brain, following oral administration of kinetin (unpublished observations). In 2009, a short-term oral administration study was performed using a group of healthy, non-symptomatic FD carriers bearing one copy of the IVS20+6T > C

mutation in the *IKBKAP/ELP1* gene. The results from this study demonstrate that, after kinetin treatment, WT *IKBKAP/ELP1* mRNA increased in leukocytes from nonsymptomatic carriers, and there was a linear association between the plasma kinetin concentrations and WT *IKBKAP/ELP1* mRNA level (75). These exciting results show that kinetin can improve *IKBKAP/ELP1* splicing in humans. Coupled with the latest results in transgenic mice showing similar improvement in *IKBKAP/ELP1* splicing in all tissues including brain, there is strong evidence that kinetin will have a therapeutic impact in FD, and potentially in other splicing disorders as well. Given that 20-30% of all disease-causing mutations are predicted to alter mRNA splicing, a number that will surely increase as the regulatory effects of single nucleotide polymorphisms (SNPs) associated with complex, common diseases are revealed, the demonstration of successful modification of splicing in FD illustrates that treatments which target precise molecular splicing defects can be successfully developed.

DISCLOSURES

The authors state no conflicts of interest.

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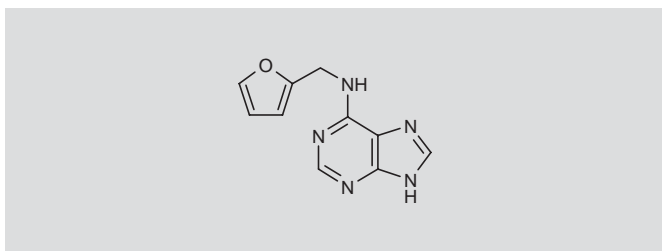


Figure 2. Structure of kinetin.

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